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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/944,292	08/31/2001	John L. Gargiulo	063170.6681	1901
5073	7590	09/07/2006	EXAMINER	
BAKER BOTTS L.L.P. 2001 ROSS AVENUE SUITE 600 DALLAS, TX 75201-2980			GOLD, AVI M	
			ART UNIT	PAPER NUMBER
			2157	

DATE MAILED: 09/07/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>		<b>Applicant(s)</b>	
	09/944,292		GARGIULO ET AL.	
	<b>Examiner</b>		<b>Art Unit</b>	
	Avi Gold		2157	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 17 August 2006.
- 2a) ☐ This action is FINAL.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-11, 13-16, 18-36 and 38-52 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11, 13-16, 18-36 and 38-52 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |                                                                                                                        |                                                                                         |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                            | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____                                                |

### **DETAILED ACTION**

This action is responsive to the amendment filed on August 17, 2006. Claims 1, 4, 6, 8, 11, 13, 16, 20-22, 26, 27, 29, 31, 33, 36, 38, 41, 42, and 45-52 were amended. Claims 12, 17, and 37 were cancelled. Claims 1-11, 13-16, 18-36, and 38-52 are pending.

### ***Response to Amendment***

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-11, 13-15, 21-36, 38-40, 46, 47, and 49-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hurst et al., U.S. Patent No. 6,192,404, in view of Shirakawa et al., U.S. Patent No. 6,804,240.

Hurst teaches the invention as claimed including a mechanism for determining distances between nodes of a computer network when time-to-live (TTL) parameters of the headers of messages are not accessible (see abstract).

Regarding claims 1, 26, and 46, Hurst teaches a method, network, and a computer readable medium having computer executable code for identifying a plurality of nodes on a network, comprising:

sending a query from a caller node, the query comprising a delay constant (col. 3, lines 16-25, col. 8, lines 4-43, Hurst discloses a response sent out based on node distance from base node);

receiving at at least one of the plurality of nodes on the network a query posed by a caller node (col. 3, lines 16-25, Hurst discloses nodes receiving queries sent from a base node);

determining at the at least one node an answer to the query (col. 3, lines 16-33, Hurst discloses query responses sent back to the base node);

calculating a delay period based at least in part on the delay constant (col. 8, lines 4-43);

after the delay, forwarding the answer to the query from the at least one node to the caller node (col. 3, lines 16-33); and

receiving, at the caller node, the answer to the query from the at least one node and maintaining a list of nodes which responded to the query (col. 3, lines 34-41, Hurst discloses a base node keeping track and determining distances of nodes that respond).

Hurst fails to teach the limitation further including calculating a delay period based on at least in part on a network address associated with the at least one node.

However, Shirakawa teaches a packet processing method for carrying out packet processing (see abstract). Shirakawa teaches the use of calculations of a delay period based on a network address (col. 8, lines 40-47).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Hurst in view of Shirakawa to calculate a delay period based on at least in part on a network address associated with the at least one node. One would be motivated to do so because it is an efficient way to prevent network traffic.

Regarding claims 2 and 27, Hurst teaches a method and network as recited in claims 1 and 26, further comprising calculating a timeout period based at least in part on a network address; and after the timeout period, identifying a plurality of nodes which responded to the query (col. 8, lines 4-19, Hurst discloses a predetermined time period for a node to respond).

Regarding claims 3 and 28, Hurst teaches a method and network as recited in claims 1 and 27, wherein each of the plurality of nodes on the network forwards to the caller node answer to the query at different times (col. 7, line 63 – col. 8, line 3, Hurst discloses that no time limit is imposed on responses).

Regarding claims 4 and 29, Hurst teaches a method and network as recited in claims 1 and 27, wherein each of the plurality of nodes on the network calculates a

Art Unit: 2157

respective delay period by multiplying the delay constant by its own network address (col. 8, lines 4-43).

Regarding claims 5 and 30, Hurst teaches a method and network as recited in claims 1 and 26, wherein each of the plurality of nodes on the network are on a subnet, the query posed by the caller node comprising a subnet mask (col. 3, lines 15-41).

Regarding claims 6 and 31, Hurst teaches a method and a system of identifying nodes on a network, comprising:

- sending a query from a caller node to a subnet, the query comprising a delay constant:

- receiving the query at each of a plurality of nodes on the subnet;

- calculating a delay period based at least in part on the delay constant;

- sending a local response to the query from the at least one node to at least one other node on the subnet;

- receiving, at one of the plurality of nodes on the subnet, the local response and compiling a list identifying responding nodes; and

- sending the list of responding nodes to the caller node (col. 3, lines 15-41, col. 8, lines 4-43).

Hurst fails to teach the limitation further including calculating a delay period based on at least in part on a network address associated with at least one of the plurality of nodes.

However, Shirakawa teaches the use of calculations of a delay period based on a network address (col. 8, lines 40-47).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Hurst in view of Shirakawa to calculate a delay period based on at least in part on a network address associated with at least one of the plurality of nodes. One would be motivated to do so because it is an efficient way to prevent network traffic.

Regarding claims 7 and 32, Hurst teaches a method and system as recited in claims 6 and 31, wherein each of the plurality of nodes on the subnet sends its local response at different times (col. 7, line 63 – col. 8, line 3).

Regarding claims 8 and 33, Hurst teaches a method and system as recited in claims 7 and 32, wherein each of the plurality of nodes on the subnet calculates a respective delay period to wait prior to sending its local response (col. 8, lines 4-19).

Regarding claims 9 and 34, Hurst teaches a method and system as recited in claims 7 and 32, wherein the one of the plurality of nodes on the subnet compiling the list identifying the responding nodes is a node first to respond with a local response to the query (col. 6, line 49 – col. 7, line 14, Hurst discloses a list based on when nodes respond).

Regarding claims 10 and 35, Hurst teaches a method and system as recited in claims 9 and 34, wherein the node compiling the list identifying the responding nodes calculates a timeout period indicating when a last of the plurality of nodes will send its local response and receives the local responses until the timeout period has expired (col. 6, line 49 – col. 7, line 14, Hurst discloses a message with a lower TTL value not reaching the computer).

Regarding claims 11 and 36, Hurst teaches a method and system as recited in claims 10 and 34, wherein the timeout period is calculated by the node compiling the list identifying the responding nodes by multiplying by the delay constant an address of a node having a highest IP address on the subnet (col. 6, line 49 – col. 7, line 14, col. 8, lines 4-43).

Regarding claims 13 and 38, Hurst teaches a method and system as recited in claims 11 and 36, wherein the query further comprises a subnet mask (col. 3, lines 15-41).

Regarding claims 14 and 39, Hurst teaches a method and system as recited in claims 6 and 31, wherein the query from the caller node comprises information identifying which of the plurality of nodes on the subnet is to compile the list of responding nodes (col. 3, lines 15-41).



Regarding claims 15, Hurst teaches a method and system as recited in claims 14 and 39, wherein each of the responding nodes sends its local response to the node identified in the query (col. 3, lines 15-41).

Regarding claims 21, 49, 50, 51, and 52, Hurst teaches a method of identifying a plurality of nodes on a network, a program storage device, a computer data signal, a network device, and a network of nodes, comprising:

- sending a query from a caller node, the query comprising a delay constant;
- receiving at least one of the plurality of nodes on the network a query posed by a caller node;
- calculating a delay period based at least in part on the delay constant;
- transmitting an answer to the query from the at least one node; and
- monitoring, at a responder node which received the query, responses from other nodes to the query and maintaining a list of nodes which responded to the query (col. 3, lines 15-41, col. 8, lines 4-43).

Hurst fails to teach the limitation further including calculating a delay period based on at least in part on a network address associated with the at least one node.

However, Shirakawa teaches the use of calculations of a delay period based on a network address (col. 8, lines 40-47).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Hurst in view of Shirakawa to calculate a delay period based on at

least in part on a network address associated with the at least one node. One would be motivated to do so because it is an efficient way to prevent network traffic.

Regarding claim 22, Hurst teaches the method of claim 21, wherein each node which received the query waits a respective delay period unique to the node before responding to the query (col. 8, lines 4-43).

Regarding claim 23, Hurst teaches the method of claim 21 further comprising transmitting from the responder node to the caller node after a query timeout period the list of nodes which responded to the query (col. 3, lines 15-41, col. 8, lines 4-43).

Regarding claim 24, Hurst teaches the method of claim 23, wherein the responder node is the first node to respond to the query (col. 6, line 49 – col. 7, line 14).

Regarding claim 25, Hurst teaches the method of claim 23, wherein a selected one of the plurality of nodes is designated within the query to maintain and transmit to the caller node the list of nodes which responded to the query (col. 3, lines 15-41).

Regarding claim 47, Hurst teaches a computer readable medium having computer executable code for identifying nodes on a network, comprising:

server code for use by a server for sending a query to a subnet, the query comprising a delay constant:

client code for use by a plurality of client nodes on the subnet for receiving the query from the server, wherein in response to the query, the client code for at least one of the plurality of client nodes on the subnet sends, after a delay period based at least in part on the delay constant, a local response to the query to at least one other client node on the subnet, the client code of the at least one other client node on the subnet compiling a list identifying responding nodes and sending the list identifying the responding nodes to the server (col. 3, lines 15-41, col. 8, lines 4-43).

Hurst fails to teach the limitation further including calculating a delay period based on at least in part on a network address.

However, Shirakawa teaches the use of calculations of a delay period based on a network address (col. 8, lines 40-47).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Hurst in view of Shirakawa to calculate a delay period based on at least in part on a network address. One would be motivated to do so because it is an efficient way to prevent network traffic.

3. Claims 16, 18-20, 41-45, and 48 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hurst et al., U.S. Patent No. 6,192,404, in view of Engdahl et al., U.S. Patent No. 5,471,461, in view of Shirakawa et al., U.S. Patent No. 6,804,240, further in view of Novaes, U.S. Patent No. 6,791,981.

Hurst teaches the invention substantially as claimed including a mechanism for determining distances between nodes of a computer network when time-to-live (TTL) parameters of the headers of messages are not accessible (see abstract). (see abstract).

Regarding claims 16, 41, and 48, Hurst teaches a method of controlling a node in a network, a node for use on a subnet, and a computer readable medium including computer executable code to be executed by a node on a subnet, comprising:

receiving at the node a query from a caller node, the query comprising a delay constant;

determining an answer to the query;

calculating a delay period to wait before responding to the query, the delay period based at least in part on the delay constant (col. 3, lines 15-41, col. 8, lines 4-43);

if the node does not have the lowest address in the network, waiting the period of time and then responding to the query;

if the node does have the lowest address in the network, listening for responses to the query from other nodes in the network and preparing a list of responding nodes; and transferring the list of responding nodes to the caller node (col. 6, line 49 – col. 7, line 14).

Hurst fails to teach the limitation further including determining whether a node has the lowest address in a network, calculating a delay period based on at least in part

on a network address associated with the node, if the node does have the lowest address in the network, determining an address of a node having a highest address in the network and determining, based on the highest address in the network, a query timeout period.

However, Engdahl teaches industrial communication networks that are employed to exchange data among control systems for factory machinery (see abstract). Engdahl teaches the determination of the lowest network address (col. 14, lines 20-42).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Hurst in view of Engdahl to determine whether a node has the lowest address in a network. One would be motivated to do so because it allows for all the active nodes to have an opportunity to send a message (col. 3, lines 49-51).

Hurst and Engdahl fail to teach the limitation further including calculating a delay period based on at least in part on a network address associated with the node, if the node does have the lowest address in the network, determining an address of a node having a highest address in the network, and determining, based on the highest address in the network, a query timeout period.

However, Shirakawa teaches the use of calculations of a delay period based on a network address (col. 8, lines 40-47).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Hurst and Engdahl in view of Shirakawa to calculate a delay period based on at least in part on a network address associated with the node. One would be motivated to do so because it is an efficient way to prevent network traffic.

Hurst, Engdahl, and Shirakawa fail to teach the limitation further including if the node does have the lowest address in the network, determining an address of a node having a highest address in the network, and determining, based on the highest address in the network, a query timeout period.

However, Novaes teaches fault tolerant packet transmission systems involved in the management of multicast communications (see abstract). Novaes teaches the use of a node with the highest IP address chosen as the network leader and an eventual timeout period based on the leader (col. 14, lines 15-23).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Hurst, Engdahl, and Shirakawa in view of Novaes to determine an address of a node having a highest address in the network if the node does have the lowest address in the network, and determining, based on the highest address in the network, a query timeout period. One would be motivated to do so because it is an efficient way to choose the network leader.

Regarding claim 42, Hurst teaches a node as recited in claim 41, wherein the node determines the period to wait before responding by multiplying its network address by the delay constant (col. 8, lines 4-43).

Regarding claims 18 and 43, Hurst teaches a method and a node as recited in claims 16 and 41, wherein the query from the caller node includes a subnet mask, the

Art Unit: 2157

node determining whether it has the lowest address in a subnet by referring to the subnet mask (col. 6, line 49 – col. 7, line 14).

Regarding claims 19 and 44, Hurst teaches a method and a node as recited in claims 18 and 43, wherein the address of the node having the highest address in the subnet is determined by referring to the subnet mask (col. 6, line 49 – col. 7, line 14).

Regarding claims 20 and 45, Hurst teaches a method and a node as recited in claims 19 and 44, wherein a query timeout period is calculated by the node by multiplying the highest address in the subnet by a delay constant (col. 6, line 49 – col. 7, line 14, col. 8, lines 4-43).

### ***Claim Objections***

4. Claim 1 is objected to because of the following informalities: In the second limitation it states “receiving at at least”. Appropriate correction is required.

### ***Response to Arguments***

5. Applicant's arguments with respect to claims 1-11, 13-16, 18-36, and 38-52 have been considered but are moot in view of the new ground(s) of rejection.

6. Applicant's arguments filed August 17, 2006 have been fully considered but they are not persuasive.

7. In response to applicant's argument that there is no suggestion to combine the references, Hurst and Shirakawa, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, the knowledge is generally available to one of ordinary skill in the art because the combination allows for an efficient way to prevent network traffic.

8. In response to applicant's argument that the combination of Hurst and Engdahl is improper because the combination would render Hurst unsatisfactory for its intended purpose, the test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references. Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981). The combination is proper because the portions used in the rejection from both pieces of art are able to co-exist.



***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

U.S. Pat. No. 6,104,701 to Avargues et al.

U.S. Pat. No. 5,604,868 to Komine et al.

U.S. Pat. No. 5,471,461 to Engdahl et al.

U.S. Pat. No. 5,987,011 to Toh

U.S. Pat. No. 6,574,197 to Kanamaru et al.

U.S. Pat. No. 6,112,247 to Williams

U.S. Pat. No. 5,317,742 to Bapat

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Avi Gold whose telephone number is 571-272-4002.

The examiner can normally be reached on M-F 8:00-5:30 (1st Friday Off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on 571-272-4001. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2157

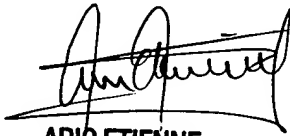
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Avi Gold

Patent Examiner

Art Unit 2157

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